

Risk Assessment Matrix

Risks and risk scores are recorded and calculated in Promapp Risk Module. The risk ratings recorded on this matrix are a summary of the risk scores the Promapp Risk Module calculated by Promapp based on the likelihood and severity of untreated and treated risks.

Consequence(s) if the risk should eventuate

Impact on People	Minor Injury or Ailment. Requires no first aid	Minor Injury (requires onsite first aid, no medical care, person can continue working)	Serious Injury (requires medical treatment by a doctor with or without time off work) or multiple minor injuries	Serious Injury (life threatening injury with or without hospitalisation) or multiple non life threatening serious injuries	Death or Multiple Life Threatening Injuries
Natural Environment	Event contained within site. Short term, no lasting effects on physical environment and ecosystems	Event contained within site. Moderate medium term effects on physical environment and serious short term effects to ecosystems	Offsite release or spill. Short term and minor effects on physical environment and ecosystems	Moderate offsite release or spill. Medium term and significant impact on natural environment and ecosystems.	Major offsite release or spill. Long term destruction of natural environment and ecosystems.
Legal Compliance	Minor breach of regulations. Instant fines for technical non compliance. Prosecution unlikely	Breach of law or non-compliance with minor litigation possible	Serious breach of regulations and/or acts with possible prosecution and/or infringements	Major breach of acts or regulations. Significant prosecutions including class actions. Significant fines.	Very high fines or awards against Council. Breaches punishable by imprisonment. Prolonged multiple litigations
Reputational	Possible local public concern. Self-improvement review required.	Minor complaints. Low media interest. Scrutiny required by internal committees or internal audit to prevent escalation	Serious complaints. Local media interest. Possible scrutiny required by external agencies or the OAG	Intense public, political and media scrutiny evidenced by front page headlines and/or television coverage.	Royal Commissions/Parliamentary enquiries and the like.
Financial	Less than 10K or 5% of operational budget (whichever is the greater)	Greater than 10K or 5% of operational budget but less than 50K or 15% of operational budget (whichever is the greater)	Greater than 50K or 15% of operational budget but less than 100K or 30% of operational budget (whichever is the greater)	Greater than 100K or 30% of operational budget but less than 250K or 50% of operational budget (whichever is the greater)	Greater than 250K or 50% of operational budget
Organisational Objectives	Very little consequence to with no effect on ability to achieve required objectives and outcomes	Minor consequences but requiring some adjustments to chieve required objectives and outcomes	Would require significant adjustment and management input to achieve required objectives and outcomes	Would threaten achievement of required objectives and outcomes. Requires management and political input.	Would stop achievement of organisation strategic chieve required objectives and outcomes

Insignificant	Minor	Moderate	Major	Catastrophic
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Likelihood of the risk eventuating	Expected in most circumstances. Has occurred on an annual basis at the Taupo District Council in the past or circumstances are in train that will cause it to happen	Almost Certain	Medium	High	High	Extreme	Extreme
	Has occurred in the last few years at the Taupo District Council or has occurred recently in other similar agencies or circumstances have occurred that will cause it to happen in the short term	Likely	Medium	Medium	High	Extreme	Extreme
	Has occurred at least once in the history of the Taupo District Council or is considered to have a 5% chance of occurring in the current planning cycle	Possible	Low	Medium	Medium	High	High
	Has never occurred at the Taupo District Council but has occurred infrequently in other similar agencies or is considered to have a around a 1% chance of occurring in the current planning cycle	Unlikely	Low	Medium	Medium	Medium	High
	Exceptional circumstances only. Is possible but has not occurred to date in any similar agency and is considered to have very much less than a 1% chance of occurring in the current planning cycle	Rare	Low	Low	Low	Medium	Medium

Risk	Tolerance	Risk Management Response	Actions	Responsibility
Extreme	No tolerance & unacceptable	Must be given immediate senior management attention	Detailed action plan required	Senior Leadership Group
High	Low tolerance	Requires considerable management required to reduce to as low as reasonably practicable (ALARP)	Detailed action plan required	Activity Managers

Medium	Medium Tolerance	Risks are required to be actively managed and monitored to ensure no escalation of risk.	Specific procedures to manage and monitor	Activity Managers / Team Leaders
Low	High tolerance	Risks are managed & monitored with normal operational procedures and policies	Routine standard operating procedures	All staff